

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. § 371

Attorney's Docket Number

056291-5011

U.S. Application No.

Unassigned

International Application. No. | International Filing Date

Priority Date Claimed

PCT/GB00/03139

August 15, 2000

August 21, 1999

Title of Invention: IMIDAZO[1,2-A]PYRIDINE AND PYRAZOLO[2,3-A]PYRIDINE DERIVATIVES

Applicants For EO/EO/US: Andrew Peter THOMAS et al.

Applicants herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. § 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. § 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. § 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. § 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. § 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3)).
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. § 371(c)(3)).
9. ☒ An oath or declaration of the inventors (35 U.S.C. § 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. § 371(c)(5)).

Items 11. to 14. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98.
  12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included.
  13. ☐ A FIRST preliminary amendment.
  14. ☒ A SECOND or SUBSEQUENT preliminary amendment.
- Other items or information:
- a. PCT/IB/308
  - b. PCT/IPEA/409
  - c. PCT/IPEA/416
  - d. International Search Report w/PTO-1449

U.S. APPLICATION NO. | INTERNATIONAL APPLICATION NO. | ATTORNEY DOCKET NUMBER

Unassigned | PCT/GB00/03139 | 056291-5011

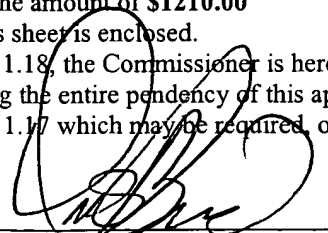
15. ☒ The following fees are submitted:  
**Basic National Fee (37 C.F.R. § 1.492(a)(1)-(5)):**  
Search Report has been prepared by the EPO or JPO.....\$890.00  
International preliminary examination fee paid to  
USPTO (37 C.F.R. § 1.482).....\$710.00  
No international preliminary examination fee paid to  
USPTO (37 C.F.R. § 1.482) but international search fee  
paid to USPTO (37 C.F.R. § 1.445(a)(2)).....\$740.00  
Neither international preliminary examination fee  
(37 C.F.R. § 1.482) nor international search fee  
(37 C.F.R. § 1.445(a)(2)) paid to USPTO.....\$1,040.00  
International preliminary examination fee paid to USPTO  
(37 C.F.R. § 1.482) and all claims satisfied provisions  
of PCT Article 33(2)-(4).....\$100.00  
**ENTER APPROPRIATE BASIC FEE AMOUNT =** \$890.00

Surcharge of \$130.00 for furnishing the oath or declaration later than  
☐ 20 ☒ 30 months from the earliest claimed priority date  
(37 C.F.R. § 1.492(e)). \$

| Claims   | Number Filed | Number Extra | Rate       |            |
|--|--------------|--------------|------------|------------|
| Total Claims   | 13 - 20 =    |              | X \$18.00  | \$         |
| Independent Claims   | 2 - 3 =      |              | X \$84.00  | \$         |
| Multiple dependent claim(s) (if applicable)  |              |              | + \$280.00 | \$280.00   |
| <b>TOTAL OF ABOVE CALCULATIONS</b>   |              |              |            | \$ 1170.00 |
| Reduction by ½ for filing by small entity, if applicable.<br>Verified Small Entity statement must also be filed. (Note 37 C.F.R. §§ 1.9, 1.27, 1.28)   |              |              |            | -\$        |
| <b>SUBTOTAL =</b>  |              |              |            | \$ 1170.00 |
| Processing fee of \$130.00 for furnishing the English translation later<br>than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. § 1.492(f)). |              |              |            | +\$        |
| <b>TOTAL NATIONAL FEE =</b>  |              |              |            | \$ 1170.00 |
| Fee for recording the enclosed assignment (37 C.F.R. § 1.21(h)).<br>The Assignment must be accompanied by an appropriate cover sheet<br>(37 C.F.R. §§ 3.28, 3.31). \$40.00 per property                        |              |              |            | \$ 40.00   |
| <b>TOTAL FEES ENCLOSED =</b>   |              |              |            | \$ 1210.00 |
| Amount to be refunded  |              |              |            | \$         |
| Amount to be charged   |              |              |            | \$ 1210.00 |

- a. ☒ Please charge Deposit Account No. 50-0310 in the amount of \$1210.00 to cover the above fees. A duplicate copy of this sheet is enclosed.
- b. ☒ **Except** for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. § 1.16 and § 1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0310.

Customer No. 09629  
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